

EXHIBIT B

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN FRANCISCO DIVISION

ORACLE AMERICA, INC.,

Plaintiff,

vs.

GOOGLE, INC.,

Defendant.

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)Case No.:

)3:10-cv-03561-WHA

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VIDEOTAPED DEPOSITION OF CHRIS KEMERER, Ph.D.

San Francisco, California

Thursday, March 3, 2016

Volume 1

Reported by:

RACHEL FERRIER, CSR No. 6948

Job No. CS2265292

<p style="text-align: right;">Page 90</p> <p>1 what degree are my results sensitive to -- looking for 2 another word to describe sensitivity analysis -- my 3 results are affected by whether or not those things are 4 included. So I reran analyses without those to see what 5 the impact would be on my conclusion. 6 Q All right. Did you apply the same methodologies 7 in undertaking the PageRank analyses for purposes of 8 assessing centrality? 9 MR. RAMSEY: Objection; form. 10 MS. ANDERSON: Let me rephrase. 11 Q You describe a few different scenarios in which 12 you have used the PageRank analysis; one with respect to 13 assessing the Android platform, one with respect to 14 assessing Java SE, and one with respect to performing a 15 sensitivity analysis. 16 Do you remember that? 17 A I do. 18 Q All right. Did you apply the same methodology of 19 performing the PageRank analysis across each of these 20 different scenarios? 21 A Yes. That was my goal. 22 Q All right. Tell me what that methodology was. 23 A All right. The methodology is really outlined in 24 my report -- in my first report, starting on page 44 25 where it describes the steps required to do the PageRank</p>	<p style="text-align: right;">Page 92</p> <p>1 BY MS. ANDERSON: 2 Q Did you, personally, conduct them? 3 MR. RAMSEY: Objection; form. 4 THE WITNESS: I conducted them with the 5 assistance of my research team. 6 BY MS. ANDERSON: 7 Q Did the technical support team perform these 8 analyses themselves? 9 MR. RAMSEY: Objection; form. 10 THE WITNESS: The analyses are mine. My 11 technical support team ran the tools. 12 BY MS. ANDERSON: 13 Q Okay. What tools are you referring to? 14 A These are the tools listed here in the report, 15 for example, NetworkX. 16 Q Any other tools used by the technical support 17 team in conducting the PageRank analyses? 18 A In other places, we describe the tool Understand, 19 which is also a commercially available tool for code 20 analysis. 21 Q Any other tools used in connection with this 22 analysis? 23 A The custom-written scripts, the PHP and R 24 scripts, are also tools used to support the analysis. 25 Q Any other tools used to conduct the analysis?</p>
<p style="text-align: right;">Page 91</p> <p>1 analysis, using standard tools that are available 2 commercially. 3 Q Describe to me an overview of the methodology 4 that was performed in connection with running PageRank 5 analysis in these different aspects that you studied. 6 MR. RAMSEY: Objection; form. 7 THE WITNESS: So at a high-level, PageRank is a 8 tool to analyze network, so first you have to be able to 9 represent the thing you are interested in as a network, 10 and so, in this case, we are looking at software, and we 11 want to think about the components as being, you know, 12 interconnected nodes and network, and so we have to 13 collect data on the software in terms of what their 14 connections are. And then there's, again, commercially 15 available tool to compute the PageRank values, and 16 earlier today you had me point out the raw data values 17 that I provided in the appendix, and those reflect the 18 centrality of the nodes in the network, in this case 19 these classes. 20 BY MS. ANDERSON: 21 Q With respect to the PageRank analyses performed 22 in connection with your opinions in this case, did you, 23 personally, conduct those analyses? 24 MR. RAMSEY: Objection; form. 25 THE WITNESS: They are my analyses, yes.</p>	<p style="text-align: right;">Page 93</p> <p>1 A We use Excel to show the results. 2 Q Have you given me a full list of tools used in 3 conducting the analyses concerning PageRank in your 4 opinions? 5 A Off the top of my head, yes, I believe that's 6 correct. 7 Q All right. Who selected the use of NetworkX? 8 A I did in consultation with my technical support 9 team. 10 Q Prior to this case, had you ever used NetworkX? 11 A I had not. 12 Q Prior to this case, had you ever used Understand? 13 A Prior to this case, I had not. 14 Q Prior to this case, had you ever used, PageRank? 15 A Prior to this case, no. 16 Q Did the technical support team suggest to you the 17 use of NetworkX, Understand, or PageRank in connection 18 with this case? 19 A We discussed a variety of options, but, yes, 20 those were the options that seemed to be the best suited 21 for this task. 22 Q And those were suggested to you by your technical 23 support team; correct? 24 MR. RAMSEY: Objection; form. 25 THE WITNESS: After they completed a review of</p>

<p style="text-align: right;">Page 94</p> <p>1 available tools that I asked them to do.</p> <p>2 BY MS. ANDERSON:</p> <p>3 Q Prior to this case, had you ever heard of</p> <p>4 NetworkX?</p> <p>5 A I'm not certain.</p> <p>6 Q Prior to this case, had you ever heard of</p> <p>7 understand?</p> <p>8 A Not certain about that either.</p> <p>9 Q Prior to this case, had you ever heard of</p> <p>10 PageRank?</p> <p>11 A Oh, yes. Everybody knows PageRank.</p> <p>12 Q Okay. And in what context had you heard about</p> <p>13 PageRank before?</p> <p>14 A I think everyone understands that PageRank,</p> <p>15 developed by Google, is the underpinnings of the Google</p> <p>16 search engine.</p> <p>17 Q What was the role of Understand in connection</p> <p>18 with your opinions in this case?</p> <p>19 MR. RAMSEY: Objection; form.</p> <p>20 THE WITNESS: I view it as a data collection</p> <p>21 tool.</p> <p>22 BY MS. ANDERSON:</p> <p>23 Q What kind of data did Understand collect?</p> <p>24 A Collects data about -- it actually shows up in</p> <p>25 various places. We are talking about it in the context</p>	<p style="text-align: right;">Page 96</p> <p>1 Q When you say that NetworkX was the tool used to</p> <p>2 do the PageRank calculation, can you be more specific in</p> <p>3 defining what NetworkX actually did in connection with</p> <p>4 your analyses?</p> <p>5 MR. RAMSEY: Objection; form.</p> <p>6 THE WITNESS: Yes. It generates the PageRank</p> <p>7 values that, again, I provided in the appendices.</p> <p>8 BY MS. ANDERSON:</p> <p>9 Q Can you be more specific about how it goes about</p> <p>10 doing that?</p> <p>11 A It implements the PageRank algorithm.</p> <p>12 Q And from where did you get the PageRank algorithm</p> <p>13 for purposes of use by NetworkX?</p> <p>14 A I believe it is part of the NetworkX capability.</p> <p>15 Q Why did you conclude it was appropriate to use</p> <p>16 PageRank for purposes of the analysis in this case?</p> <p>17 A Well, stepping back, the -- the overarching issue</p> <p>18 is the substantiality, and centrality is, I believe, a</p> <p>19 measure of that in which -- is an answer I gave earlier.</p> <p>20 PageRank is a metric for measuring centrality,</p> <p>21 and I also know that PageRank has been used by other</p> <p>22 researchers in looking at centrality in look -- thinking</p> <p>23 about software as a set of interconnected nodes.</p> <p>24 So based on that literature, it seemed an</p> <p>25 appropriate tool to apply here.</p>
<p style="text-align: right;">Page 95</p> <p>1 of PageRank, but I think it also is part of the other</p> <p>2 software analyses.</p> <p>3 Basically it's a -- something that allows you to</p> <p>4 pull raw data and then put it in some other</p> <p>5 representation so that you can do analysis on it.</p> <p>6 Q What was the role of Understand in connection</p> <p>7 with this case?</p> <p>8 A Again, as a tool for pulling raw data and putting</p> <p>9 it in raw data for analysis.</p> <p>10 Q Can you be more specific about what Understand</p> <p>11 did in connection with this case.</p> <p>12 A Yes. You -- you -- you have software that it</p> <p>13 reads, and then it generates output, which is a</p> <p>14 different representation of that software, and a</p> <p>15 representation that is more amenable to analysis than</p> <p>16 the raw source code.</p> <p>17 Q Can you be more specific in defining what</p> <p>18 Understand did in connection with this case?</p> <p>19 MR. RAMSEY: Objection; form.</p> <p>20 THE WITNESS: No.</p> <p>21 BY MS. ANDERSON:</p> <p>22 Q What role did NetworkX have in connection with</p> <p>23 the analysis in this case?</p> <p>24 A NetworkX is the tool used to do the PageRank</p> <p>25 calculation.</p>	<p style="text-align: right;">Page 97</p> <p>1 Q Did you do research to assess what kind of</p> <p>2 protocols or methodologies should be used in conjunction</p> <p>3 with PageRank to help it properly assess the question of</p> <p>4 centrality when studying a software platform?</p> <p>5 MR. RAMSEY: Objection; form.</p> <p>6 THE WITNESS: I did read prior research, and I</p> <p>7 believe I have provided citations to those papers that I</p> <p>8 relied on in my report.</p> <p>9 MS. ANDERSON: Okay.</p> <p>10 (Exhibit 1443 was marked for</p> <p>11 identification by the Court Reporter.)</p> <p>12 MS. ANDERSON: I'm going to show you what has</p> <p>13 been marked as 1443 to your deposition. Exhibit 1443 is</p> <p>14 an article entitled "Helping Program Comprehension of</p> <p>15 Large Software Systems by Identifying Their Most</p> <p>16 Important Classes," authored by Ioana Sora.</p> <p>17 Q Have you seen this document before?</p> <p>18 A I saw this document for the first time yesterday</p> <p>19 afternoon.</p> <p>20 Q In what context did you see this for the first</p> <p>21 time?</p> <p>22 A Yesterday afternoon when I reviewed</p> <p>23 Dr. Astrachan's third report. He cites this paper in</p> <p>24 his February 29th report.</p> <p>25 Q Okay. And did you read it in its entirety?</p>

25 (Pages 94 - 97)

<p style="text-align: right;">Page 98</p> <p>1 A Yes, I did read this; although, admittedly, 2 quickly, given the time constraints yesterday, but I 3 have read this entire paper from front to back. 4 Q And do you have an understanding that this 5 article, Exhibit 1443, makes recommendations about what 6 needs to be studied when applying an analysis like 7 PageRank to a software system? 8 MR. RAMSEY: Objection; form. 9 THE WITNESS: Well, like all academic articles, 10 it does contain recommendations, but they are 11 recommendations that I disagree with, and I believe that 12 this article is, frankly, not very good. 13 BY MS. ANDERSON: 14 Q Okay. Which, if any, recommendations in 15 Exhibit 1443 do you disagree with? 16 A I disagree with. Well, let me back up. 17 First off, I would characterize this paper as 18 someone doing research using static analysis, a PageRank 19 measure to look at software and, in particular, to 20 identify what the author describes as most important 21 classes, so up until that point, this author is doing 22 exactly what I did, and the fact that Dr. Astrachan has 23 included this in his report, in my mind, supports the 24 notion that, in general, he is in agreement with my use 25 of the PageRank approach in analyzing the centrality in</p>	<p style="text-align: right;">Page 100</p> <p>1 are, and then they are weighted by the things that are 2 connected to you, how many things are connected to them, 3 so it's a recursive type of algorithm, so that's 4 standard PageRank analysis. 5 What this author does is then they go one more 6 step and they add a set of subjective weights to the 7 standard PageRank analysis, and that's the part that I 8 disagree with. 9 Q Where in the article does the article describe 10 this aspect that you disagree with? 11 A All right. If you turn to page 3, it is 12 primarily in the paragraph that starts with: The 13 strength of a dependency. 14 Do you see that? 15 Q Okay. 16 A So penultimate paragraph on page 3. 17 Q Is this the paragraph with which you have 18 disagreement? 19 A This is where they identify this notion of 20 weighting -- I mean, they're -- I'm not going to limit 21 my disagreements to this one paragraph, particularly 22 given that I've only read this paper once, yesterday 23 afternoon, but this certainly, I think, is a critical 24 paragraph in this paper, based on my limited reading of 25 it yesterday.</p>
<p style="text-align: right;">Page 99</p> <p>1 helping to identify most important classes within a 2 piece of object-oriented software. 3 So that's the part that I can do a full stop 4 where I agree with it, but, however, I do have sources 5 of disagreement. 6 MS. ANDERSON: All right. So move to strike. 7 The answer is nonresponsive. 8 Q My question to you -- 9 MR. RAMSEY: Oracle disagrees and -- with that 10 objection and the motion. 11 BY MS. ANDERSON: 12 Q My question to you, sir, is: What portions of 13 Exhibit 1443, if any, do you disagree with? 14 A All right. Let me try again. 15 This author, in the main, does something quite 16 similar to what I do, with one -- one distinction, and 17 the distinction is what I disagree with. 18 Q What is the distinction that you say you disagree 19 with? 20 A All right. What this author does is they engage 21 in the PageRank analysis, which, as part of PageRank 22 analysis, it identifies these connections and then 23 weights them as part of the standard PageRank analysis 24 by your -- your connections to a particular class are -- 25 you are counted in terms of how many connections there</p>	<p style="text-align: right;">Page 101</p> <p>1 Q Why? Why is that? 2 A Why is that. Because what they do -- or what 3 the -- the single author does is -- having PageRank, and 4 then they basically, arbitrarily, create these weights, 5 which they describe in this paragraph, but these weights 6 have no particular basis, and what I mean by that is -- 7 I mean, you know, this sort of software measurement -- 8 this is the kind of work that I've been doing for 9 30 years. You know, this kind of paper, in my 10 professional opinion and as someone who writes in this 11 area and has been a referee and editor in this area, is 12 not very good, because these weights are essentially 13 subjective and ad hoc, and the author makes no attempt 14 to do any validation of these measures in a -- in a 15 normal, what I would consider appropriate kind of 16 analysis where these weights would have come from -- 17 would have been some notion that they would be tested 18 against some other variable of interest. 19 So a very typical thing in software measurement 20 research; for example, someone wants to propose a new 21 measure and they say, for example, "Here's my measure of 22 complexity," they will then do a test showing some 23 correlation between that measure and some variable of 24 interest, say quality, you know, defects, that kind of 25 thing.</p>

<p style="text-align: right;">Page 102</p> <p>1 This author does nothing. They -- like that.</p> <p>2 They just -- these numbers come out of -- you know, sort</p> <p>3 of thin air. There's no validation of these numbers at</p> <p>4 all. So that's a significant concern.</p> <p>5 Frankly, again, I, again, got a copy of this off</p> <p>6 the web last night. I'm not sure if this paper has even</p> <p>7 been peer-reviewed.</p> <p>8 Q When you say the numbers have no validation,</p> <p>9 which numbers are you referring to?</p> <p>10 A Where it's described in the paragraph. It says:</p> <p>11 We assign weight 1, level 2. Weights will sum up</p> <p>12 level 3, and other weights have weights 4, all the --</p> <p>13 that's -- that's the entirety of their description. I'm</p> <p>14 trying to infer what has gone on here, and, again, there</p> <p>15 is nothing that tells me these have any context or any</p> <p>16 reliability.</p> <p>17 Q Beyond that criticism, is there anything else</p> <p>18 that, as you sit here today, you are aware of with which</p> <p>19 you disagree in this article, Exhibit 1443?</p> <p>20 A Well, again, subject to the caveat that I've read</p> <p>21 this once, yesterday afternoon, and I may -- if I --</p> <p>22 when I have an opportunity to read it more carefully</p> <p>23 have other concerns, it basically fails the most</p> <p>24 elemental test, in my view.</p> <p>25 If you think about what they say they have</p>	<p style="text-align: right;">Page 104</p> <p>1 Does it tell you the things -- does it find the things</p> <p>2 you are looking for and not give you sort of false</p> <p>3 positives kind of thing, or false negatives?"</p> <p>4 And what you would expect to see in this -- or</p> <p>5 this -- a well-done piece of research, you would expect</p> <p>6 to see them saying, "Look, as the base case, I ran</p> <p>7 PageRank as everyone understands it." All right? And</p> <p>8 I'm not going to call it the non-weighted PageRank</p> <p>9 because that's, strictly speaking, not true, because</p> <p>10 PageRank does have within it a set of weights, but if I</p> <p>11 say -- if I refer to it as the baseline PageRank, you</p> <p>12 will understand me to mean the PageRank that everybody</p> <p>13 else uses except for this author. And then I would</p> <p>14 expect them to say, "And here's our" -- or "this</p> <p>15 person's model," and -- and show and demonstrate in some</p> <p>16 way that it was an improvement.</p> <p>17 But they do not conduct such analysis. They</p> <p>18 simply make the claim that we have done something</p> <p>19 better, when, frankly, the analysis that they would need</p> <p>20 to do to support that claim is very simple, very</p> <p>21 straightforward. Certainly was available to them</p> <p>22 because they have some tool that's computing PageRank</p> <p>23 for them, yet they failed to do such analysis, and to</p> <p>24 me, that is -- is a glaring flaw and one that means that</p> <p>25 you cannot accept their conclusions because they haven't</p>
<p style="text-align: right;">Page 103</p> <p>1 accomplished is that they have done this better job of</p> <p>2 PageRank by taking standard PageRank analysis that's</p> <p>3 widely used and they have added these weights to it and</p> <p>4 that's meant to be better.</p> <p>5 But when all is said and done, they go off and</p> <p>6 they do their analysis using their own idiosyncratic</p> <p>7 model. They run it on, let's see, one, two -- half a</p> <p>8 dozen pieces of software, and then they report their</p> <p>9 results. All right? And their -- what they use as</p> <p>10 their basis for deciding if their model is any good or</p> <p>11 not is -- you know, does it identify the classes that</p> <p>12 they set up as the answer to this, which are classes</p> <p>13 they pulled out of the documentation for the software.</p> <p>14 And what they don't do, which would be the -- the</p> <p>15 obvious thing to do and the only thing -- or, excuse me,</p> <p>16 not the only thing, but the thing that you would</p> <p>17 minimally have to do to support their conclusion that</p> <p>18 what they have done is some improvement is do the same</p> <p>19 analysis using standard PageRank analysis and then show</p> <p>20 that there was some improvement on some element here.</p> <p>21 For example, they don't actually use the term</p> <p>22 "discrimination," but in this kind of research,</p> <p>23 typically what one of the ways we evaluate is we say,</p> <p>24 "All right. Here's this tool to try to identify</p> <p>25 something. Does it -- is it highly discriminatory?</p>	<p style="text-align: right;">Page 105</p> <p>1 done the necessary analysis that would support such</p> <p>2 conclusions.</p> <p>3 Q Do you have an understanding -- strike that.</p> <p>4 Can you explain to me how a particular instance</p> <p>5 variable would be weighted when it's run through</p> <p>6 Understand as was used in the analysis reflected in your</p> <p>7 opinions?</p> <p>8 MR. RAMSEY: Objection; form.</p> <p>9 THE WITNESS: I'm sorry, so we are no longer</p> <p>10 talking about the Romanian paper?</p> <p>11 MS. ANDERSON: You can set aside Exhibit 1443 for</p> <p>12 now.</p> <p>13 THE WITNESS: Sorry, can you repeat the question,</p> <p>14 because I was -- I was looking for it in the context of</p> <p>15 what we were talking about.</p> <p>16 MS. ANDERSON: No problem.</p> <p>17 Q Do you have enough familiarity with Understand to</p> <p>18 explain to me how a particular instance variable would</p> <p>19 be weighted?</p> <p>20 A No.</p> <p>21 Q As part of the analysis that was performed in</p> <p>22 connection with your centrality opinions, did your</p> <p>23 methodology adjust in any way for classes that are not</p> <p>24 used?</p> <p>25 MR. RAMSEY: Objection; form.</p>

<p style="text-align: right;">Page 130</p> <p>1 particular factor that might coexist in time actually 2 caused the situation; right? 3 A That possibility always exists, yes. 4 Q Okay. And that is true in economic markets; 5 right? 6 A Could you give me the predicate again? 7 Q Sure. 8 There may be factors at play in a particular 9 market one might study that actually had nothing to do 10 with the success of a particular product even though 11 they were correlating in time? 12 MR. RAMSEY: Objection; form. 13 THE WITNESS: Strikes me as unlikely. 14 BY MS. ANDERSON: 15 Q So is it your testimony that all of the factors 16 present in a particular market that coexist in time with 17 the success of a particular product must all have been 18 part of the cause of that product's success? 19 A Not all factors that coexist in time, but factors 20 that have a theory behind them that would explain the 21 causal basis, those factors, yes, I would -- you know, 22 the correlation is quite important there. 23 Q Doesn't mean it caused it, though; right? 24 MR. RAMSEY: Objection; form. 25 THE WITNESS: We always have this, you know,</p>	<p style="text-align: right;">Page 132</p> <p>1 assess whether or not the presence of stability in 2 connection with the 37 Java APIs actually had a causal 3 relationship with the success of the Android platform? 4 MR. RAMSEY: Objection; form. 5 THE WITNESS: I believe it was a factor for the 6 reasons I've written in my report in terms of what I 7 think it means in terms of the quality of the platform, 8 the likelihood of it attracting developers, and that 9 belief is supported by the empirical data. 10 BY MS. ANDERSON: 11 Q Did you conduct any statistical analysis of 12 possible factors that may have contributed to the 13 success of the Android to assess whether or not 14 stability of APIs actually had a causal relationship 15 with the success of Android? 16 MR. RAMSEY: Objection; form. 17 THE WITNESS: No, I did not. 18 BY MS. ANDERSON: 19 Q You discussed before, in your definition of the 20 word "stable," the notion that a stable platform is one 21 that doesn't change; right? 22 A I believe I said "lack of change," but, yes. 23 Q All right. Does your definition of "stability" 24 have any relationship to the concept of backward 25 compatibility?</p>
<p style="text-align: right;">Page 131</p> <p>1 backing up in social science about, you know, we don't 2 have the physical laws that we can test, but we make 3 decisions based on this sort of analysis all the time. 4 BY MS. ANDERSON: 5 Q Isn't it fair to say that factors can be at play 6 in a market at the time of a product's success that 7 actually were a drag on the success of the product? 8 MR. RAMSEY: Objection; form. 9 THE WITNESS: By "drag on the success," do you 10 mean that some things can be successful despite the 11 presence of negative factors? 12 MS. ANDERSON: Exactly. 13 THE WITNESS: As a general statement? Yes, it's 14 certainly possible. 15 MS. ANDERSON: Right. 16 Q And, in fact, you know, based on your experience 17 with statistical analyses, that there are studies done 18 trying to extricate factors that are part of a causal 19 relationship for success of a product and factors that 20 either have no relationship or have a negative effect on 21 the success of a product; right? 22 MR. RAMSEY: Objection; form. 23 THE WITNESS: As a general principle, yes. 24 BY MS. ANDERSON: 25 Q Did you conduct any such analysis in this case to</p>	<p style="text-align: right;">Page 133</p> <p>1 A Yes, and I understand the concept of backward 2 compatibility. 3 Q What is does "backward compatibility" mean? 4 A "Backward compatibility," when there is a defined 5 standard, means that a change is made that continues to 6 allow earlier components that met the earlier standard 7 to continue to operate. I'm sure there's a more 8 technical, more strict definition in the literature, but 9 that's approximately correct. 10 Q Does backward compatibility have a relationship 11 to the concept of stability that you studied in your 12 reports? 13 A There are some concepts in common. The -- with 14 backward compatibility to mean that things that used to 15 work, and if we are trying to keep them working, which 16 is sort of the essence of the notion behind backward 17 compatibility, is also the outcome that you would expect 18 in a stable environment, so they have that in common. 19 Q Is it your opinion that developers want platforms 20 that never change? 21 MR. RAMSEY: Objection; form. 22 THE WITNESS: That would be an extreme statement. 23 Clearly, developers will want platforms to improve, but 24 with the appreciation that with change comes some cost. 25 Then I would think they would rationally want to weigh</p>

<p style="text-align: right;">Page 134</p> <p>1 costs and benefits.</p> <p>2 BY MS. ANDERSON:</p> <p>3 Q Is it your opinion that platforms should improve</p> <p>4 in a fashion that preserves backward compatibility?</p> <p>5 MR. RAMSEY: Objection; form.</p> <p>6 THE WITNESS: Well, I think that there's a</p> <p>7 trade-off. I mean, we have talked about one possible</p> <p>8 benefit of backward compatibility. I think whether or</p> <p>9 not you actually see backward compatibility in a</p> <p>10 platform probably driven by two factors.</p> <p>11 One is, what's the size of the prior standard?</p> <p>12 In other words, how important would it be to be backward</p> <p>13 compatible? And that's going to be part of the decision</p> <p>14 about whether to try to maintain backward compatibility.</p> <p>15 And then I think the second answer would be more</p> <p>16 of a technical question, which is, how difficult is it</p> <p>17 to maintain backward compatibility while also doing the</p> <p>18 advance, the technical advance.</p> <p>19 So you are not always going to see backward</p> <p>20 compatibility, even though it has some desirable</p> <p>21 characteristics to it. I think whether you see backward</p> <p>22 compatibility in a system is going to be a function of</p> <p>23 both these economic factors in terms of how worthwhile</p> <p>24 is it and the sort of technical factors about how</p> <p>25 difficult is it to do and also -- and then sort of the</p>	<p style="text-align: right;">Page 136</p> <p>1 Q This is one of the articles that you cite in your</p> <p>2 rebuttal report; correct?</p> <p>3 A Yes, I believe it is.</p> <p>4 Q And it's entitled "Measuring Software Library</p> <p>5 Stability Through Historical Version Analysis"; right?</p> <p>6 A That is the title of Exhibit 1444 now.</p> <p>7 Q And as a general matter, one of the goals of the</p> <p>8 paper was to introduce a way to measure interface and</p> <p>9 implementation stability; right?</p> <p>10 A Well, I might need a few minutes here, and what</p> <p>11 we have done up until this point today is mostly read --</p> <p>12 or discussed my own reports. Obviously I didn't write</p> <p>13 this paper. I might need a minute to review before I</p> <p>14 can answer questions about it.</p> <p>15 Q Sure.</p> <p>16 A Okay.</p> <p>17 I believe I remember something about this paper,</p> <p>18 among the multiple papers I cited, but please go ahead.</p> <p>19 Q When did you first read this paper?</p> <p>20 A Probably sometime in the last two months.</p> <p>21 Q Did your technical support team provide it to</p> <p>22 you?</p> <p>23 A Yes, I think that's a fair statement.</p> <p>24 Q Prior to this case, had you ever analyzed</p> <p>25 stability of software?</p>
<p style="text-align: right;">Page 135</p> <p>1 interface of those might be, if I make something</p> <p>2 backward compatible, does that somehow limit me in terms</p> <p>3 of how I can advance?</p> <p>4 So I think the backward compatibility decision</p> <p>5 question is -- is not simple. I think there are a lot</p> <p>6 of things come into play.</p> <p>7 BY MS. ANDERSON:</p> <p>8 Q Is it fair to say that you relied on a number of</p> <p>9 articles in describing the concept of stability in your</p> <p>10 opinions in this case?</p> <p>11 A I have cited articles in that section, yes.</p> <p>12 Q All right. And some of the articles you cite</p> <p>13 define the notion of stability in the context of what a</p> <p>14 developer would like to see or would expect to see in --</p> <p>15 in a set of software?</p> <p>16 MR. RAMSEY: Objection; form.</p> <p>17 THE WITNESS: That's possible. I would probably</p> <p>18 have to go back and actually relook at the articles to</p> <p>19 see exactly what they said.</p> <p>20 MS. ANDERSON: All right. Let's take a look at</p> <p>21 one of them.</p> <p>22 (Exhibit 1444 was marked for</p> <p>23 identification by the Court Reporter.)</p> <p>24 MS. ANDERSON: Let's take a look -- I'm showing</p> <p>25 you Exhibit 1444.</p>	<p style="text-align: right;">Page 137</p> <p>1 A Well, I've done a lot of research on software</p> <p>2 maintenance and software volatility, which is sort of,</p> <p>3 you know, the inverse of stability, like quality and</p> <p>4 defects are inverse.</p> <p>5 So, yes, I'm familiar with the -- the -- this</p> <p>6 work in this area and then literature, and I have done</p> <p>7 research in this area.</p> <p>8 Q How many times, prior to this case, did you</p> <p>9 perform stability analyses of the sort that you</p> <p>10 performed in this case?</p> <p>11 A Well, again, if we are talking, you know, broadly</p> <p>12 about software volatility, you know, multiple times.</p> <p>13 There are -- there are papers on my CV.</p> <p>14 Q Well, I'm asking you about stability analyses of</p> <p>15 the kind you performed in this case.</p> <p>16 How many times, prior to this case, did you</p> <p>17 perform stability analyses of the sort you performed in</p> <p>18 this case?</p> <p>19 MR. RAMSEY: Objection; form.</p> <p>20 THE WITNESS: Well, again, I can't give you an</p> <p>21 exact answer, and, again, to me, stability is like --</p> <p>22 it's a dimension of maintain -- maintenance of -- which</p> <p>23 I've done a lot of empirical research in that, so I</p> <p>24 don't know. Again, I can't give you an exact number,</p> <p>25 but a lot of work I've done relates to this topic.</p>

<p style="text-align: right;">Page 146</p> <p>1 A That's correct.</p> <p>2 Q Staying with this Exhibit 1444, would you please</p> <p>3 turn to the third page. First full paragraph, about</p> <p>4 one-third of the way through is a sentence that says:</p> <p>5 We consider an API to be stable if functionality is not</p> <p>6 removed from a public interface once it's been added,</p> <p>7 end quote.</p> <p>8 Do you see that?</p> <p>9 A Again, I don't know what page you are on.</p> <p>10 Q Sure. It's the third page, and it looks like</p> <p>11 this.</p> <p>12 A Okay.</p> <p>13 Q And it's above Section 4, "Related Work."</p> <p>14 A Mm-hmm.</p> <p>15 Q First full paragraph there on that column --</p> <p>16 A Okay.</p> <p>17 Q -- the paragraph starts with the words: We do</p> <p>18 not address.</p> <p>19 Do you see that?</p> <p>20 A I see that.</p> <p>21 Q About a third of the way down that paragraph is a</p> <p>22 sentence that reads, quote: We consider an API to be</p> <p>23 stable if functionality is not removed from a public</p> <p>24 interface once it has been added, end quote.</p> <p>25 Do you see that?</p>	<p style="text-align: right;">Page 148</p> <p>1 changes through time in such a way that it potentially</p> <p>2 requires users of this library to rework their</p> <p>3 implementations due to these changes.</p> <p>4 Do you see that?</p> <p>5 A I do.</p> <p>6 Q Do you agree with that as a definition of a gauge</p> <p>7 of stability of a library?</p> <p>8 A Yes, I agree that that is a definition of</p> <p>9 "library stability," and they put in parens</p> <p>10 "instability," but, yes, I -- I understand that to be a</p> <p>11 definition, yes.</p> <p>12 Q And that's because at the core of this notion of</p> <p>13 stability that you have been talking about is the idea</p> <p>14 of whether or not developers have to essentially rework</p> <p>15 implementations as a result of changes; correct?</p> <p>16 MR. RAMSEY: Objection; form.</p> <p>17 THE WITNESS: Yes, I understand that is part of</p> <p>18 the definition.</p> <p>19 BY MS. ANDERSON:</p> <p>20 Q Well, as a practical matter, do you agree that</p> <p>21 that's one of the main concerns associated with whether</p> <p>22 or not a particular library is stable?</p> <p>23 MR. RAMSEY: Objection; form.</p> <p>24 THE WITNESS: Yes.</p> <p>25 BY MS. ANDERSON:</p>
<p style="text-align: right;">Page 147</p> <p>1 A I do.</p> <p>2 Q Do you agree with that?</p> <p>3 A I agree that that's their definition.</p> <p>4 Q Do you agree that that is a definition that the</p> <p>5 industry applies to the notion of an API being stable?</p> <p>6 A That certainly a definition that these authors of</p> <p>7 this paper have applied, and if they are -- I don't know</p> <p>8 if they are part of the industry or where they are from.</p> <p>9 Q Do you consider this to be a commonly accepted</p> <p>10 definition of "stable"?</p> <p>11 A I couldn't say that, no.</p> <p>12 Q All right. What research have you done, if any,</p> <p>13 to assess what is a commonly accepted definition of</p> <p>14 "stable" when it comes to an API?</p> <p>15 A I've really relied on my own experience, both</p> <p>16 commercial and research in this area, and did not do any</p> <p>17 additional research on definitions of "stability."</p> <p>18 Q Going down to the next paragraph below that is</p> <p>19 another definition of "library stability" that is</p> <p>20 assumed for purposes of the paper.</p> <p>21 Do you see that?</p> <p>22 A I see the paragraph, yes.</p> <p>23 Q And in that paragraph, the author writes:</p> <p>24 Library stability is the degree to which the public</p> <p>25 interface or implementation of a software library</p>	<p style="text-align: right;">Page 149</p> <p>1 Q Because if it changes in a way that it breaks the</p> <p>2 code, that becomes a problem for the folks who develop</p> <p>3 software; correct?</p> <p>4 A That certainly would be a problem, yes.</p> <p>5 Q All right. Some changes to code can break the</p> <p>6 code; right?</p> <p>7 MR. RAMSEY: Objection; form.</p> <p>8 THE WITNESS: Some changes certainly can break</p> <p>9 the code, yes.</p> <p>10 BY MS. ANDERSON:</p> <p>11 Q And some changes don't; right?</p> <p>12 A That is --</p> <p>13 MR. RAMSEY: Objection; form.</p> <p>14 THE WITNESS: Sorry.</p> <p>15 That is also the case, yes.</p> <p>16 BY MS. ANDERSON:</p> <p>17 Q Because some changes allow the library to remain</p> <p>18 essentially backwards compatible to prior</p> <p>19 implementations; right?</p> <p>20 A That's possible, yes.</p> <p>21 Q Well, that's true; right?</p> <p>22 A Well, you have to restate that part if you want</p> <p>23 me to say it's true.</p> <p>24 Q Do you agree that there are changes that can be</p> <p>25 made to a library that, in fact, allow that library to</p>

<p style="text-align: right;">Page 150</p> <p>1 be backwards compatible such that those changes do not 2 cause a break in the code? 3 MR. RAMSEY: Objection; form. 4 THE WITNESS: That's possible, yes. 5 BY MS. ANDERSON: 6 Q Do you think that software developers want API 7 library -- strike that. 8 Is it your opinion that software developers want 9 libraries to remain completely static and never change? 10 MR. RAMSEY: Objection; form. 11 THE WITNESS: No, because there are other 12 considerations. 13 BY MS. ANDERSON: 14 Q And those other considerations include the extent 15 to which a library is improved with additional methods 16 that can be added to certain packages or otherwise 17 enhanced; right? 18 MR. RAMSEY: Objection; form. 19 THE WITNESS: Yes. As I indicated in an earlier 20 answer, there's a cost benefit analysis that needs to be 21 done. 22 BY MS. ANDERSON: 23 Q And if a particular library is enhanced in a way 24 that maintains backwards compatibility, that cost that 25 you are referring to is significantly decreased;</p>	<p style="text-align: right;">Page 152</p> <p>1 complete analysis by looking at more factors. 2 BY MS. ANDERSON: 3 Q That's because all libraries can differ in 4 different ways; correct? 5 MR. RAMSEY: Objection; form. 6 THE WITNESS: It's such a general statement, I 7 suppose that's correct, but -- 8 BY MS. ANDERSON: 9 Q Libraries aren't uniformly the same across the 10 universe; fair? 11 A That is a fair statement. All right. 12 Q All right. So they have different features; 13 true? 14 A That must be the case, then, yes. 15 Q All right. And some may be complex; right? 16 MR. RAMSEY: Objection; form. 17 THE WITNESS: Certainly, complexity is a 18 dimension on things -- upon which things could differ. 19 MS. ANDERSON: Right. 20 Q And some may have libraries that are viewed as 21 very helpful; right? 22 MR. RAMSEY: Objection; form. 23 THE WITNESS: That could be certainly someone's 24 response to a library, yes. 25 BY MS. ANDERSON:</p>
<p style="text-align: right;">Page 151</p> <p>1 correct? 2 A Not necessarily; because, as I said earlier, one 3 of the consequences of maintaining backward 4 compatibility may be arriving at a 5 lowest-common-denominator solution that makes it -- the 6 whole thing less valuable than it would be if you didn't 7 do backward compatibility. 8 Q But it's part of the calculation; right? 9 A It's part of the calculation, yes. 10 Q And each situation needs to be assessed on its 11 own; true? 12 MR. RAMSEY: Objection; form. 13 THE WITNESS: Well, of course you want -- it 14 would be ideal to look at every situation independently, 15 but that doesn't take out the possibility of looking 16 broadly and generally and looking at trends in a 17 population over time. 18 BY MS. ANDERSON: 19 Q But isn't it fair to say, to assess whether or 20 not a change to a particular library helped or hurt the 21 success of that library and the platform in which it 22 lies, you really need to look at all the factors 23 involved; right? 24 MR. RAMSEY: Objection; form. 25 THE WITNESS: Certainly you can always do a more</p>	<p style="text-align: right;">Page 153</p> <p>1 Q And some may have libraries that are not viewed 2 as -- being viewed as particularly helpful to a 3 particular platform; right? 4 MR. RAMSEY: Objection; form. 5 THE WITNESS: That certainly could also be a 6 possibility. 7 BY MS. ANDERSON: 8 Q Let's take a look at Figure 1, which is at 9 page 20 of your opening report, Exhibit 1440. 10 A I'm sorry, could you repeat the numbers. 11 Q Sure. Page 20 -- 12 A 20. 13 Q -- of your report is Figure 1. 14 A Okay. I have that. 15 Q Did you prepare this figure? 16 A Yes, I did. 17 Q What are you trying to indicate in Figure 1? 18 A In Figure 1, this is trying to reflect an 19 understanding and then communicate the ideas that are 20 expressed in the paragraphs that lead up to it, 61, 62, 21 63, and to show that in a graphical form. 22 Q In Figure 1 or the paragraphs that you say are 23 part of what is expressed in Figure 1, are you 24 attempting to offer an opinion about -- strike that. 25 In your Figure 1, what, if anything, did you do</p>

<p style="text-align: right;">Page 170</p> <p>1 changes to documentation as opposed to the actual code 2 that is run for the APIs? 3 MR. RAMSEY: Objection; form. 4 THE WITNESS: No. 5 BY MS. ANDERSON: 6 Q No, you don't know, or, no, they did not? 7 A I don't -- I don't believe that they did include 8 the documentation changes. 9 Q Do you know one way or the other? 10 A Again, I don't believe so. 11 Q What sort of testing, if any, was performed on 12 the results of the analysis done by your team to confirm 13 whether the changes that they were counting, for 14 purposes of analyzing stability, were real changes as 15 opposed to changes that actually don't affect how the 16 code runs? 17 MR. RAMSEY: Objection; form. 18 THE WITNESS: Well, when you say "how the code 19 runs," are we talking about application developers' 20 code? 21 BY MS. ANDERSON: 22 Q I'm talking about the code that -- well, strike 23 that. 24 You are aware that your team ran certain R 25 scripts to analyze stability when it comes to these APIs</p>	<p style="text-align: right;">Page 172</p> <p>1 BY MS. ANDERSON: 2 Q What do you mean when you say "the same rules 3 were applied to both sets"? 4 A Well, in other words, in all of my analysis, the 5 stability analysis and the PageRank analysis, and so 6 forth, the -- the answer, if you will, the results 7 are -- are some relative assessment, you know, A versus 8 B. And I'm just pointing out that, in all these cases, 9 the measures used are going to be applied equally to A 10 and B. And we can talk about, you know, could you have 11 measured it this way? Could you have measured it this 12 way? Include this, not include this? and so forth, and, 13 obviously, there are a variety of ways of doing it, but 14 what gives me confidence in the results is that I know 15 that the -- in all these cases, I've applied the methods 16 equally to both sets, and, therefore, what really 17 matters is not the absolute values, but the relative 18 values. 19 Q So is it your testimony that if there were 20 changes to the documentation or to comments, that that 21 is just as important as changes to code that might break 22 code? 23 MR. RAMSEY: Objection; form. 24 THE WITNESS: I don't think that's what I said, 25 no.</p>
<p style="text-align: right;">Page 171</p> <p>1 that you studied; right? 2 A Yes. 3 Q And my question is whether or not the technical 4 support team you used, or yourself did, any sort of 5 testing sort of quality assurance to make sure that that 6 study wasn't roping in changes that aren't really 7 changes that would affect code running, like breaking of 8 code, which is the concern you described that relates to 9 the subject of stability? 10 MR. RAMSEY: Objection; form. 11 THE WITNESS: No. What was done is -- is, you 12 know, really counting all of these as a possibility 13 of -- or, actually, not a possibility, but as a change 14 in the method. 15 But one thing I would point out with all this 16 discussion about how things are counted, at the end of 17 the day, what I can always come back to is: At the end 18 of the day, the results are about comparison of two 19 sets, and what gives me confidence in this analysis is 20 that I know that the same rules were applied to both 21 sets and, therefore, you know, in terms of possibilities 22 of different ways of measuring things, unless I believe 23 that there was some reason that that would bias the 24 results in one way or another, I'm less concerned about 25 that.</p>	<p style="text-align: right;">Page 173</p> <p>1 BY MS. ANDERSON: 2 Q You don't agree with what I just said; right? 3 A Certainly not as a general fact, no. 4 Q Wouldn't you agree that when it comes to 5 analyzing stability, as you have tried to do in these 6 opinions, a change to code that would break code in this 7 notion of compatibility that we have been talking about 8 is a much more significant change than a change to a 9 comment or a change in the language in the 10 documentation; right? 11 MR. RAMSEY: Objection; form. 12 THE WITNESS: It's more likely to be the case, 13 yes. 14 BY MS. ANDERSON: 15 Q Because one kind of change would break the code, 16 and one kind of change would not; right? 17 A I can imagine a circumstance under which 18 misleading comments would also cause someone to write 19 bad code, so it's not completely a free ride, but I 20 understand the distinction you are making, and, yes, I 21 would be more concerned about the former rather than the 22 latter. 23 Q Is it fair to say that, in this case, you have 24 not offered any opinions on whether or not Android is 25 backward compatible?</p>